

PROJECT NAME		Penal Reform International (PRI) PPA Extension 2014-16: Criminal justice and penal reform for the poorest and most vulnerable						
IMPACT A fair and proportionate criminal justice system and humane treatment for male and female suspects, defendants and prisoners, focused on poor and marginalised people	Impact Indicator 1 Extent to which UN recommendations for penal reform, detention conditions, justice for women and children, torture and the death penalty are implemented in PRI target countries * (scale rating based on implementation status: fully/partially/not implemented)	Planned	Baseline (2012/13) Varying levels of compliance with international standards	Milestone 1 (2014/15) 10% of recommendations are implemented in PRI target countries reviewed during time period	Milestone 2 (2015/16) Further 10% of recommendations are implemented in PRI target countries reviewed during time period	Target (March 2016) 20%		
		Achieved						
		Source						
		Reports by UN bodies (e.g. Sub-Committee Prevention on Torture (SPT), Special Rapporteurs); other third party reports e.g. ICPS; PRI research reports; NGO shadow reports						
	Impact Indicator 2 Suspects, defendants and prisoners report better treatment, conditions and rehabilitation, in line with international standards ***, in PRI target countries	Planned	Baseline (2012/13) Overcrowding, limited rehabilitation of prisoners and use of alternatives to imprisonment	Milestone 1 (2014/15) Reduction in overcrowding, greater focus on rehabilitation and increased use of alternatives in minimum 5 PRI countries	Milestone 2 (2015/16) Further 5 PRI countries	Target (March 2016) 10 countries		
		Achieved						
		Source						
		Reports by UN bodies (e.g. SPT, Special Rapporteurs); other third party reports e.g. ICPS; PRI research reports; NGO shadow reports; beneficiary feedback						
OUTCOME Improved law, policy and practice, in line with international standards***, that promote and protect the rights of male, female and child prisoners and other vulnerable people in conflict with the law, relevant to PRI's target themes** and target countries*	Outcome Indicator 1 Number of legislative and policy changes in line with international standards promoted by PRI (link to outputs 1 and 2, 3, 4 indicators)	Planned	Baseline (2012/13) PRI focus on 5 international standards / 1 regional standard; no or partial implementation in PRI target countries	Milestone 1 (2014/15) 2 changes in national legislation or policy	Milestone 2 (2015/16) 2 further changes in national legislation or policy	Target (March 2016) 4 changes in national legislation or policy, related to one or more of the following: <i>Revisions to Criminal and Criminal Executive Codes in line with standards on non-custodial measures and Standard Minimum Rules; National Preventive Mechanisms (NPMs) in line with OPCAT and good practice on preventive monitoring; Juvenile Justice Strategies and Action Plans in line with Convention on the Rights of the Child and related international standards and guidelines; Rehabilitation, non-custodial, prison management laws and policies in line with Bangkok Rules (as per PRI PPA linked Operational Plan and Strategic Plan)</i>	Assumptions There is political will at all levels to bring about change based on international standards; and sufficient political stability and resources to ensure sustainability and implementation	
		Achieved						
	Source							
	PRI Legislation and Policy Tracker (includes scale and baseline); National legislation and policy; third party reports (UN, I/NGOs, expert bodies/working groups)							
	Outcome Indicator 2 a) Level at which targeted international and regional stakeholders **** improve, reference or use international standards that are promoted by PRI at international level; b) Level at which Governments engage with PRI on the international standards that are promoted by PRI at the national level.	Planned	Baseline Limited referencing in PRI countries	Milestone 1 (2014/15) Increase by 1 level in 1 country and by 1 international stakeholder	Milestone 2 (2015/16) Increase by 1 level in 1 additional country and by 1 additional international stakeholder	Target (March 2016) 1 level increase in 2 countries and by 2 international stakeholders. <i>Thematic scales ***** based on the following international / regional standards: i) Standard Minimal Rules; ii) Bangkok Rules; iii) African Charter on the Rights and Welfare of the Child (ACERWC General Comment 1); iv) Juvenile Justice standards including Convention on the Rights of the Child and related international standards and guidelines (as per PRI PPA linked Operational Plan and Strategic Plan)</i>		

		Achieved					
			Source				
			PRI Government Engagement Tool (includes baseline and change scale); PRI scale rating assessments; Stakeholder papers, policies; guidelines; reports; media				
	Outcome Indicator 3		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	
	Levels of knowledge and skills reported by targeted trained stakeholders to implement international standards (link to output indicator 4.2)	Planned	Relatively low levels of knowledge in relation to new international standards	75% people trained report increase in score by 1 level	75% people trained report increase in score by 1 level	75% <i>includes public monitoring bodies' skills to inspect detention facilities; probation staff and supervisors to implement non-custodial measures; prison officers to adhere to Bangkok Rules, human rights standards and Standard Minimum Rules for Treatment of Prisoners (as per PRI PPA linked Operational Plan and Strategic Plan)</i>	
		Achieved					
			Source				
			PRI end of training surveys (includes baseline assessment against pre-training) and 4-6 month follow-up surveys; evaluation reports				
INPUTS (£)	DFID (£)		Govt (£)	Other (£)	Total (£)	DFID SHARE (%)	
INPUTS (HR)	DFID (FTEs)						
OUTPUT 1	Output Indicator 1.1		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	Assumption
Evidence body developed and disseminated to demonstrate need for change through research, data collection and gap analysis	Number of reports, papers, manuals produced based on research and learning undertaken or commissioned by PRI	Planned	Includes: 'Use and practice of Imprisonment'; 'Community-based sanctions, Russia'; 'Making Community Service work, East Africa'; 'Mechanisms for prevention of torture, 9 CIS states'; Monitoring and Detention Guides; 'Juvenile Justice Manual'; 8 country reports 'Violence Against Children'; PRI Bangkok Rules tool box; 'Women in Prisons' (4 countries)	2 reports, papers or manuals	Further 2 reports, papers or manuals	4, relating to: <i>Bangkok Rules / Women in Prisons research reports; reports on community service, diversion centre pilots; criminal justice legislation and practice analysis; Alternative Disciplinary Measures for Children in Detention, juvenile justice guidelines/papers ; Death penalty papers/reports</i>	Data is available and sufficiently accurate (link to output 5); relevant decision-makers are open to evidence-based arguments and have political will and resources to make and sustain change; journalists are able and willing to accurately report and publish (freedom of expression exists)
		Achieved					
			Source				
			PRI documentation, reports, papers, manuals; research terms of reference; feedback on use/uptake of reports, papers, research				
	Output Indicator 1.2		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	
	Number of research publications produced by partners and criminal justice stakeholders disseminated by PRI	Planned	At least 1 per PRI e-bulletin: general e-bulletin (monthly), Bangkok Rules and Together Against Torture e-bulletins (quarterly)	2 disseminated	2 more disseminated	4 e.g. <i>by UN bodies; academic partners; monitoring bodies; civil society partners: disseminated by PRI e-bulletins and social media</i>	
		Achieved					
			Source				
			PRI website statistics; PRI ebulletin data; PRI event reports				
	Output Indicator 1.3		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	
	Number of journalists trained by PRI on human rights issues in target countries	Planned	Limited numbers of journalists cover human rights issues in target countries	10 journalists or news agencies trained	further 10 journalists or news agencies trained	20 <i>includes on: juvenile justice; death penalty; criminal justice reforms</i>	
		Achieved					

			Source				
			PRI training workshop registration sheets, training surveys				
IMPACT WEIGHTING (%)							RISK RATING
15%							Low
INPUTS (£)	DFID (£)		Govt (£)	Other (£)	Total (£)	DFID SHARE (%)	
INPUTS (HR)	DFID (FTEs)						

OUTPUT 2	Output Indicator 2.1		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	Assumption	
Advocacy to raise awareness of current international standards and to develop new standards for implementation nationally (links to output 1)	Number of events organised by PRI at international / regional forums	Planned	Includes side-events/panels at Inter-Parliamentary Union Assemblies; Human Rights Council; African Commission; UN Crime Commission Sessions; UN Commission on Status of Women; UN OSCE-HDIM meeting; UN General Assembly: promoting SMR revision; death row phenomena; torture prevention mechanisms; Bangkok Rules; juvenile justice.	2 events	2 further events	4 events <i>includes at: UN Crime Commission, Human Rights Council; ACERWC; OSCE; UN General Assembly</i>	Robust evidence is available (link to output 1). International standards feed through to national law, policy and practice and bring about change; civil society organisations are willing to promote international standards for penal reform	
		Achieved						
			Source					
			PRI event documentation and stakeholder feedback					
	Number of national advocacy events organised by PRI to promote international standards in PRI target countries	Planned	Includes: roundtables /awareness events/media forums in each region on alternatives; torture prevention; death penalty; juvenile justice; women offenders.	1 event per regional office	1 further event per regional office	8 events <i>includes: roundtables, public and media events, forums on: criminal justice reforms; juvenile justice; death penalty; women offenders; alternatives to detention</i>		
		Achieved						
			Source					
			PRI event documentation and stakeholder feedback					
	Number of national civil society organisations (CSOs) promoting international standards for penal reform in partnership with PRI	Planned	At least 2 in each of four regions and partners in E Africa and S Asia	2 additional CSOs	2 additional CSOs	4 CSOs		
		Achieved						
			Source					
			PRI event documentation and partner feedback; PRI Partnership Memorandum of Understandings (MoUs); media reports					
IMPACT WEIGHTING (%)			Source				RISK RATING	
20%							Medium	
INPUTS (£)	DFID (£)		Govt (£)	Other (£)	Total (£)	DFID SHARE (%)		
INPUTS (HR)	DFID (FTEs)							

OUTPUT 3	Output Indicator 3.1		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	Assumption
Technical assistance from PRI to draft penal policy and legislation in line with international standards	Number of laws or policy guidelines where PRI submits recommendations	Planned	Includes: NPM procedures/ draft laws; Criminal codes regarding non-custodial measures, torture prevention and death penalty sentencing, women prisoners; Juvenile justice action plans and codes.	Recommendations submitted to 2 laws/policy guidelines	Further 2	Recommendations submitted to 4 laws/policy guidelines, related to one or more of the following: non-custodial measures (probation, community service, early-release, rehabilitation); women offenders; death penalty; new Criminal and Criminal Executive Codes; restorative justice in Juvenile Justice laws / national action plans; draft NPMs laws/ monitoring guidelines	Relevant stakeholders willing to engage with and initiate reform process (link to output 2); sufficient stability of government to see through change
		Achieved					
Source							
PRI - stakeholder correspondence; PRI reports, Minutes of meetings; PRI Working Group tool ; legislation and policy changes							
	Output Indicator 3.2		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	
	Number of official working groups and events attended by PRI to discuss changes in policy and legislation	Planned	At least 1 per regional office each year	2 per regional office	Further 2 per regional office	16, includes: Parliamentary working groups, human rights and legal committees; national task forces, parliamentary and civil society coalitions on: new/revisions to Criminal Codes and legislation; probation; juvenile justice reforms; death penalty; prison monitoring	
		Achieved					
Source							
PRI Working Group tool; Minutes and notes of meetings; PRI reports							
IMPACT WEIGHTING (%)							RISK RATING
30%							Medium
INPUTS (£)	DFID (£)	Govt (£)	Other (£)	Total (£)	DFID SHARE (%)		
INPUTS (HR)	DFID (FTEs)						
OUTPUT 4	Output Indicator 4.1		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	Assumption
Technical assistance from PRI to set-up and implement new systems in line with international standards and good practice	Number of operational and procedural guidelines, manuals and plans developed, contributed to or provided by PRI to establish new systems	Planned	Includes: PRI Bangkok Rules tool box; Torture prevention and monitoring tools and plans; manuals and plans for juvenile police units, courts, detention facilities; community service manual; human rights and prison management manuals and curricula	1 per region	Further 1 per region	8 guidelines, manuals, plans related to one or more of the following: community service orders and probation guidelines; juvenile justice manuals/protocols; Bangkok Rules plans/guidelines; Monitoring guides; training curricula	Humane penal policy is adopted in line with international standards (link to output 3). Key decision-makers have political will and resources to sustain and scale up models of good practice
		Achieved					
Source							
PRI manuals, plans, procedural guidelines produced; PRI reports, Working group tool							
	Output Indicator 4.2		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	
	Number of people trained to use new systems and procedures	Planned	Includes: 700 on Bangkok Rules; 350 on juvenile justice; 738 on torture prevention; 300 on non-custodial measures	200 people	Further 200 people	400 people, includes training on: Bangkok Rules; community service orders, probation; prison inspections; child-friendly interviewing /courts / restorative justice; prison management - for judiciary; prison and probation officers; social workers; civil society; public monitoring bodies.	
		Achieved					
Source							
Training documentation and feedback surveys (pre/post training and 4-6 month follow-up)							
	Output Indicator 4.3		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	

	Number of key stakeholders that PRI engages to support new systems	Planned	Includes: prison, police and probation officials; magistrates; public monitoring bodies; social workers and health professionals; training institutes	3 key stakeholders per region	3 further key stakeholders per region	24 key stakeholders: <i>includes Government officials; Prison training academies and management; Judiciary; Prison monitoring bodies; Civil society bodies. International includes: UN bodies; Special Rapporteurs</i>		
		Achieved						
			Source					
			PRI records, press reports, notes of meetings					
IMPACT WEIGHTING (%)							RISK RATING	
	30%						Medium	
INPUTS (£)	DFID (£)		Govt (£)	Other (£)	Total (£)	DFID SHARE (%)		
INPUTS (HR)	DFID (FTEs)							
OUTPUT 5	Output Indicator 5.1		Baseline (2012/13)	Milestone 1 (2014/15)	Milestone 2 (2015/16)	Target (March 2016)	Assumption	
Develop a monitoring, evaluation and learning (MEL) system to collect robust evidence to institutionalise and sustain the systems developed	Level at which MEL plans are operationalised in all PRI offices to capture robust data (PRI MEL scale rating)	Planned	M&E plans currently being developed at PRI head office and regional level (level 1)	Level 2 reached for head office and 4 regional offices	Level 3 reached for head office and 4 regional offices	Level 3	Increased knowledge brings about effective performance and sustainable change (links to output 4)	
		Achieved						
			Source					
			PRI M&E self assessment; M&E plans and tools; PRI reports					
	Output Indicator 5.2							
	Number of learning documents produced by PRI that captures learning from experience and identifies examples of good practice	Planned	3 internal evaluation reports prepared	2 learning documents produced	Further 2 learning documents produced	4		
		Achieved						
			Source					
			Evaluation reports, case studies and PRI reports					
	Output Indicator 5.3							
	Number of people that receive learning documents products (links to outputs 1 and 2)	Planned	Learning documents- products shared internally but not externally	150 people per learning document- product	150 people per learning document- product	150 per learning document- product ; 300 total		
		Achieved						
			Source					
			Website statistics (external); emails and meetings (internal); feedback on use/uptake of learning documents					
IMPACT WEIGHTING (%)							RISK RATING	
	5%						Low	
INPUTS (£)	DFID (£)		Govt (£)	Other (£)	Total (£)	DFID SHARE (%)		
INPUTS (HR)	DFID (FTEs)							

*** PRI PPA Target Countries /Regions**

PRI Regional Offices

Central Asia: Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan
Middle East and North Africa (MENA): Algeria, Egypt, Jordan, Morocco, Tunisia, Yemen
Russia: Belarus, Russia, Ukraine
South Caucasus: Armenia, Azerbaijan, Georgia

PRI Regions via local partnerships

East Africa: Kenya, Tanzania, Uganda
South Asia: Bangladesh, India, Pakistan

**** PRI Strategic Themes**

A reduction in unnecessary imprisonment through greater use of non-custodial alternatives
The improvement of prison conditions and the prevention of torture and ill-treatment
Abolition of the death penalty and the introduction of humane alternative sanctions
Child-friendly criminal justice systems which use detention only as a last resort

Proportionate and gender-sensitive responses to women offending

***** International and Regional Standards prioritised and promoted by PRI**

UN Principles and Guidelines on Access to Legal Aid (2012)

UN Rules for the Treatment of Women Prisoners (Bangkok Rules) (2010)

UN Standard Minimum Rules for the Treatment of Prisoners (SMRs) (under review)

Optional Protocol for the Convention Against Torture (OPCAT) (2004)

2nd Optional Protocol to the Convention on Civil and Political Rights (ICCPR) (1989)

African Committee of Experts on the Rights and Welfare of the Child (ACERWC) General Comment 1 on Children of Incarcerated Mothers / Caregivers

Convention on the Rights of the Child (CRC) and related standards and guidelines

****** PRI targeted stakeholders include:**

Government officials from relevant Ministries and MPs

Judges, Magistrates, lawyers

Police, prison and probation personnel

NGO staff that work on relevant criminal justice issues or with those in contact with the law

Academics, journalists, community representatives, health and social work professionals, and activists whose work is relevant to criminal justice issues

UN sub-committee for Prevention of Torture,
Human Rights Council, Committee on
Elimination of Discrimination against Women
(CEDAW), UN Working Group on
Discrimination of Women in Law and Practice,
UN Working Group on Arbitrary Detention
(WGAD), Committee on the Rights of the
Child (CRC), UN Crime Commission, Office of
the High Commissioner for Human Rights
(OHCHR), UN Office on Drugs and Crime
(UNODC), UNICEF, and Special Rapporteurs
(e.g. on Torture, Violence Against Women);
Inter-Parliamentary Union (IPU); African
Commission on Human and People's Rights,
African Committee of Experts on the Rights
and Welfare of the Child (ACERWC)

Inter-governmental organisations; UN
bodies and committees including:

******* Scale ratings. For example on Bangkok Rules:**

1. Situation of women offenders are
referenced

2. Bangkok Rules are referenced

3. The human rights situations is
analysed against Bangkok Rules

4. Analysis includes recommendations

5. Recommendations are followed-up

6. International institution proactively promote
the Bangkok Rules